**Banking and Financial Institutions Law**

## Prof. Amedeo Valzer

## ***COURSE AIMS***

The course will provide students with a solid grounding in the law governing banking and financial intermediation, in light of the general rules contained in the Civil Code and the two consolidation laws of 1993 (Banking Law) and 1998 (Financial Services Law).

***COURSE CONTENT***

1. The funding of business through recourse to bank loans and the capital markets.
2. Historic view of banking and market regulation.
3. Supervision Authorities.
4. Banks and banking activity.
5. The banking supervision.
6. Banking crisis: the regulation
7. Financial intermediaries. The markets.
8. Forms of supervision.
9. The crises of banks and financial intermediaries: the regulations.

***READING LIST***

For the part concerning banking law:

Brescia Morra Concetta, *Il diritto delle banche*, 2. ed., Il Mulino, Bologna, 2016.

For the part concerning financial intermediaries law:

Perrone Andrea Paolo, *Il diritto del mercato dei capitali*, Giuffrè, Milan 2016, only pp. 3-44; 157-282;

or, in alternative,

Annunziata Filippo, *La disciplina del mercato mobiliare*, Giappichelli, Turin, latest edition available, chapters. I-VII and IX-XIV.

To study the discipline, it is advisable to refer to updated normative texts – in any case, texts published not over six months prior to the date of the exam. Normative texts can be retrieved consulting the institutional websites of Banca d’Italia and Consob. Further material will be indicated during the course, and made available in the specific section on the Professor’s personal webpage.

***TEACHING METHOD***

The theoretical analysis will be backed up by a number of case studies of a topical nature.

***ASSESSMENT METHOD***

Oral exam. Attending students may prepare the exam on lecture notes (for the part concerning banking law) and on the book by Prof. Perrone, only the following pages 3-28; 157-193; 227-245 (for the part concerning intermediaries and markets law).

***NOTES***

Information on office hours available on the teacher's personal page at <http://docenti.unicatt.it/>